

Circular No.: NSDL/POLICY/DDP/2014/0002

Date: May 21, 2014

Subject: Risk management framework for Foreign Portfolio Investors (FPI) under the SEBI (Foreign Portfolio Investors) Regulations, 2014.

Attention of Participants who are registered with SEBI as Designated Depository Participants (DDPs) is invited to SEBI Circular No. CIR/MRD/DP/15/2014 dated May 15, 2014 (copy enclosed) regarding risk management framework for Foreign Portfolio Investors (FPI) under the SEBI (Foreign Portfolio Investors) Regulations, 2014.

Participants are advised to take note of the above and ensure compliance.

For any further information or assistance, the following officials may be contacted:

Name of Official	Contact No.	Email ID
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For and on behalf of

National Securities Depository Limited

Samar Banwat
Senior Vice President

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	By email at dp_igreport@nsdl.co.in . Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.



National Securities Depository Limited

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