

Circular No.: NSDL/POLICY/DDP/2014/0012

Date: November 7, 2014

Subject: Updated FAQs released by SEBI on the FPI Regime.

Attention of Participants which are registered with SEBI as Designated Depository Participants (DDPs) is invited to Updated Frequently Asked Questions (FAQs) released by SEBI on October 31, 2014 in respect of FPI Regime. Copy of same is enclosed for reference.

DDPs are advised to take note of the above and ensure compliance.

For and on behalf of

National Securities Depository Limited

**Samar Banwat
Senior Vice President**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	By email at dp_igreport@nsdl.co.in Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Digitally signed report by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	1. Circular No.: NSDL/POLICY/2014/0101 dated September 19, 2014. 2. Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.

